

Atty Docket No. 0102/0247
Customer No. 021395

FORM PTO-1082
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

BOX APPLICATIONS

Re: New U.S. Patent Application

For: METHOD AND APPARATUS FOR RECORDING
AND REPRODUCING INFORMATION ON AND
FROM OPTICAL DISC

Inventor(s): Yasuhiro UEKI

Attorney Docket: 0102/0247

Sir:

Attached hereto is the application identified above, including 104 of textual specification including 21 claims and 20 sheets of drawings.

[XX] This application is a divisional of U.S. Patent Application No. 10/290,243 filed November 8, 2002 which in turn is a divisional of U.S. Patent Application No. 10/132,266 filed April 26, 2002, since matured to U.S. Patent No. 6,496,459 on December 17, 2002 which in turn is a divisional of U.S. Patent Application No. 09/697,514 filed October 27, 2000, since matured to U.S. Patent No. 6,404,713 on June 11, 2002, the disclosure of which being incorporated by reference to this application.

[XX] Submitted herewith is a Preliminary Amendment. The filing fee and claims calculated below are based on the Preliminary Amendment.

The Government filing fee is calculated as follows:

| (Col 1) | | (Col 2) | | (Col 3) | SMALL ENTITY | | OR | NON-SMALL ENTITY | |
|---|---|---------|----|-----------|--------------|-----|----------|------------------|-------|
| NO. FILED | | | | NO. EXTRA | RATE | FEE | | RATE | FEE |
| TOTAL | 3 | minus | 20 | | x9= | 0 | | x18= | \$ |
| INDEP | 3 | minus | 3 | | x43= | 0 | | x86= | \$ |
| _ First Presentation, Multiple Dependent Claims | | | | | +145= | 0 | | +290= | \$ |
| Base Filing Fee | | | | | | \$ | | | \$770 |
| TOTAL FILING FEE* (accounting for possible small entity status) | | | | | | \$ | OR TOTAL | | \$770 |

☐ Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:

☐ is filed herewith;

☐ will be filed at a later date;

☐ was filed in the parent application.

[XX] Foreign priority is claimed under 35 U.S.C. § 119 from:

Japanese Patent Application No. 11-325956 filed November 16, 1999

Japanese Patent Application No. 11-334099 filed November 25, 1999

[XX] Priority documents were submitted in application No. 09/697,514 on March 16, 2001.

☐ There is no claim to foreign priority under 35 U.S.C. § 119.

[XX] A copy of the Declaration submitted in application No. 09/697,514 is attached herewith.

[XX] A Change of Attorney's Address is submitted herewith.

☐ Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).

[XX] Formal drawing(s) is/are attached.

☐ Formal drawing(s) will be submitted at a later date.

[XX] An Information Disclosure Statement and PTO-1449 are submitted.

[XX] The Assignment document in application No. 09/697,514 application was recorded on October 27, 2000 on Reel 011253, Frame 0677.

[XX] The Commissioner is hereby authorized to charge the amount of **\$ 770.00** for the filing of this application to Deposit Account No. 50-0501. Also, the Commissioner is authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached

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- [] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- [XX] The Commissioner is hereby authorized to charge any fee deficiency, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.
- [XX] Address all future communications (may only be completed by applicant, or attorney of record) to Louis Woo at **Customer No. 021395** whose address is:

Law Office of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
(703) 299-4090

Respectfully submitted,



Louis Woo, Reg. No. 31,730
Law Offices of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
Phone: (703) 299-4090

Date: Jul 25 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yasuhiro UEKI

Serial No.

Art Unit:

Filed: concurrently herewith

Examiner:

For: METHOD AND APPARATUS
FOR RECORDING AND
REPRODUCING
INFORMATION ON AND
FROM OPTICAL DISC

Atty Docket: 0102/0247

CHANGE OF ATTORNEY'S ADDRESS IN APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22314

Sir:

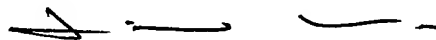
Please change the correspondence address and send all correspondence for this application to the following address:

Law Office of Louis Woo
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Alexandria, Virginia 22314

Please direct telephone calls to:

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Respectfully submitted,



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Date: Feb 25 2004